

EXPRESS MAIL NO.: EL615209468US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Richard A. LERNER
Appl. No. 09/726,649

Art Unit: 1645
Examiner: Not Yet Assigned

Filed: November 28, 2000

Attorney Docket: 06271.0027.DVUS01

For: **NEW METHOD FOR TAPPING THE
IMMUNOLOGICAL REPERTOIRE**

**Response to Notice to File
Missing Parts of Application**

Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Missing Parts

Sir:

In response to the **Notice to File Missing Parts of Application--Filing Date Granted** dated March 14, 2001, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Petition for Extension of Time under C.F.R. §1.136;
- ☒ Copy of the Notice to File Missing Parts;
- ☒ Copy of Declaration from Parent Application;
- ☒ Return postcard; and
- ☒ Our Check for \$1,520.00 to cover:

\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$1,390.00 For extension of time fees under 37 C.F.R. §1.136

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

On November 28, 2000, Applicants authorized the U.S. Patent and Trademark Office to charge any fee for the filing of the application to Deposit Account 08-3038. Applicants hereby submit the Surcharge for late filing of the Declaration in our accompanying check. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 06271.0027.DVUS01. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise

Commissioner for Patents

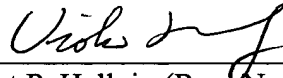
September 5, 2001

Page 2

provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 06271.0027.DVUS01. A duplicate copy of this Response is enclosed.

Respectfully submitted,

Date: September 5, 2001



Albert P. Halluin (Reg. No. 25,227)

Viola T. Kung (Reg. No. 41,131)

HOWREY SIMON ARNOLD & WHITE, LLP

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09-07-01

✓ N\$ Sector

PTO/SB/17 (09-00)

Approved for use through 10/31/2000. OMB 0651-003
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1520.00

Complete if Known

Application Number	09/726,649
Filing Date	November 28, 2000
First Named Inventor	Richard A. Lerner
Examiner Name	Not Yet Assigned
Group Art Unit	1645
Attorney Docket No.	06271.0027.DVUS01

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Acct. No. 08-3038

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money ☐ Other Order

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** =	<input type="text"/>	<input type="text"/>
Independent Claims	- 3** =	<input type="text"/>	<input type="text"/>
Multiple Dependent		<input type="text"/>	<input type="text"/>

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue Independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	1,390.00
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1520.00

SUBMITTED BY

Name (Print/Type) Albert P. Halluin, Viola T. Kung

Registration No. (Attorney/Agent) 25,227, 41,131

Complete (if applicable)

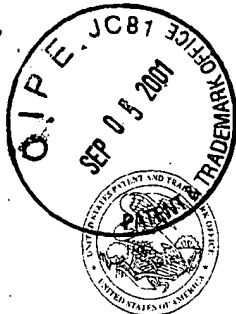
Telephone 650-463-8109

Signature

Date Sept. 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on The amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,649	11/28/2000	Richard A. Lerner	06271.0027.DVUS01

22930
HOWREY SIMON ARNOLD & WHITE LLP
BOX 34
1299 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004

FORMALITIES LETTER



OC000000005862689

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$80.
 - **\$240** for **3** independent claims over 3 .
- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 210.**

A copy of this notice MUST be returned with the reply.

B Monroe
Customer Service Center

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/10/2001 HTECKLU1 00000005 09726649

01 FC:105	130.00	OP
02 FC:110	1370.00	OP

RECEIVED
DOCKET DEPT.
HOWREYSIMONARNOLD&WHITE

MAR 19 2001

WASHINGTON, D.C.